

**International Trade Certification**

- 1. Country Location of Customer: \_\_\_\_\_
  - a. Using the below website, provide the location country CPI score \_\_\_\_\_

[2020 - CPI - Transparency.org](https://www.transparency.org) or <https://www.transparency.org/en/cpi/2020/index/nzl>

- 2. Will the product be exported outside the United States or Canada? (Y/N) \_\_\_\_\_
  - a. If Y, please provide a list of each export country with the designated CPI score (using the link above).

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- 3. Will the products be installed in a facility that is engaged, directly or indirectly, in researching, designing, developing, manufacturing, constructing, testing, operating, or maintaining any of the following?

- a. Nuclear weapons or nuclear explosive devices
- b. Unsafeguarded nuclear reactors, critical facilities, facilities for the fabrication of nuclear fuel, facilities for the conversion of nuclear material from one chemical form to another, or separate storage installations;
- c. Facilities, or components for such facilities:
  - i. Facilities for the chemical processing of irradiated special nuclear or source material;
  - ii. Facilities for the production of heavy water;
  - iii. Facilities for the separation of isotopes of special nuclear material; or
  - iv. Facilities for the fabrication of nuclear reactor fuel containing plutonium;
- d. Maritime nuclear propulsion projects.

(Y.N) \_\_\_\_\_

- 4. Will the products be installed in a facility that is engaged, directly or indirectly, in designing, developing, producing, operating, installing, maintaining (checking), repairing, overhauling, or refurbishing of rocket systems, space launch vehicles, sounding rockets, or unmanned air vehicle systems?

(Y.N) \_\_\_\_\_

- 5. Will the products be installed in a facility that is engaged, directly or indirectly, in designing, developing, producing, stockpiling, operating, installing, maintaining (checking), repairing, overhauling, or refurbishing of chemical or biological weapons, or precursors?

(Y.N) \_\_\_\_\_

- 6. Is the customer or end user customer a “military end-user” as defined below, or will the product be used for a “military end use” as defined below?

- a. “Military end user” means the national armed services (army, navy, marine, air force, or coast guard), as well as the national guard and national police, government intelligence or reconnaissance organizations, or any person or entity whose actions or fluctuations are intended to support ‘military end uses.’ Specific listings can be found in Supplement No. 7 to Part 744 of the Export Administration Regulations (EAR).

- b. "Military end use" means incorporation into: a military item described on the U.S. Munitions List (USML) (22 CFR part 121, International Traffic in Arms Regulations) or the Wassenaar Arrangement Munitions List; commodities classified on the US Commerce Control List under Export Control Classification Numbers (ECCNs) ending in "A018" or under "600 series" ECCNs; or any commodity that is designed for the "use," "development," "production," or deployment of military items described on the USML, the Wassenaar Arrangement Munitions List or classified under ECCNs ending in "A018" or under "600 series" ECCNs.

(Y.N) \_\_\_\_\_

7. Is the customer or end user customer a "military-intelligence end user" as defined below, or will the product be used for a "military-intelligence end use" as defined below?

- a. "Military-intelligence end user" means any intelligence or reconnaissance organization of the armed services (army, navy, marine, air force, or coast guard); or national guard. Military-intelligence end users subject to this definition include, but are not limited to the following:

- i. Cuba. Directorate of Military Intelligence (DIM) and Directorate of Military Counterintelligence (CIM)
- ii. China. Intelligence Bureau of the Joint Staff Department
- iii. Iran. Islamic Revolutionary Guard Corps Intelligence Organization (IRGC-10) and Artesh Directorate for Intelligence (J2)
- iv. North Korea. Reconnaissance General Bureau (RGB)
- v. Russia. Main Intelligence Directorate (GRU)
- vi. Syria. Military Intelligence Service
- vii. Venezuela. General Directorate of Military Counterintelligence (DGCIM)

- b. "Military-intelligence end use" means the design, "development," "production," use, operation, installation (including on-site installation), maintenance (checking), repair, overhaul, or refurbishing of, or incorporation into, items described on the US Munitions List (USML) (22 CFR part 121, International Traffic in Arms Regulations), or classified under ECCNs ending "A018" or under "600 series" ECCNs, which are intended to support the actions or functions of a "military-intelligence end user."

(Y.N) \_\_\_\_\_

8. Will the customer or end user engage in any of the other restricted activities described in Part 744 of the EAR?

(Y.N) \_\_\_\_\_

9. Please describe the specific end use of the products:

\_\_\_\_\_

\_\_\_\_\_

(Y.N) \_\_\_\_\_

10. Will any products be provided directly or indirectly to Huawei or any of its affiliated companies identified on the Entity List, Supplement No 4 to Part 744 of the EAR?

(Y.N) \_\_\_\_\_

11. **Unlawful Diversion Prohibited.** By signing this form, the customer certifies that it is familiar with it will comply with all applicable trade compliance laws and regulations governing the import,

export, re-export, in-country transfer and use of products, including US laws prohibiting the unlawful diversion of products to embargoed countries or sanctioned entities or for prohibited end-uses.

By Signature: \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_